

PAPER • OPEN ACCESS

## Interlaboratory comparison measurements of aspheres

To cite this article: R Schachtschneider *et al* 2018 *Meas. Sci. Technol.* **29** 055010

View the [article online](#) for updates and enhancements.

### You may also like

- [Astigmatism correction of convex aspheres using the subaperture stitching hindle test](#)  
Goeun Kim, In-Ung Song, Hagiyoung Kihm et al.
- [Influence of mounting on the optical surface figure in optical reference surfaces](#)  
V. Lédl, I. Fortmeier, P. Psota et al.
- [Particle size distributions by transmission electron microscopy: an interlaboratory comparison case study](#)  
Stephen B Rice, Christopher Chan, Scott C Brown et al.

# Interlaboratory comparison measurements of aspheres

R Schachtschneider<sup>1,2</sup> , I Fortmeier<sup>2</sup>, M Stavridis<sup>1</sup>, J Asfour<sup>3,9</sup>, G Berger<sup>4</sup>, R B Bergmann<sup>5,6</sup>, A Beutler<sup>7</sup>, T Blümel<sup>8</sup>, H Klawitter<sup>2,9</sup>, K Kubo<sup>10</sup>, J Liebl<sup>11</sup>, F Löffler<sup>2,9</sup>, R Meiß<sup>2,9</sup>, C Pruss<sup>12</sup>, D Ramm<sup>13</sup>, M Sandner<sup>5,16</sup>, G Schneider<sup>9,14</sup>, M Wendel<sup>4</sup>, I Widderhoven<sup>15</sup>, M Schulz<sup>2</sup> and C Elster<sup>1</sup>

<sup>1</sup> Physikalisch Technische Bundesanstalt, Abbeestraße 2-12, 10587 Berlin, Germany

<sup>2</sup> Physikalisch Technische Bundesanstalt, Bundesallee 100, 38116 Braunschweig, Germany

<sup>3</sup> Dioptic GmbH, Bergstraße 92A, 69469 Weinheim, Germany

<sup>4</sup> AMETEK GmbH, Rudolf-Diesel-Straße 16, 64331 Weiterstadt, Germany

<sup>5</sup> Bremer Institut für Angewandte Strahltechnik (BIAS) GmbH, Klagenfurter Str. 5, 28359 Bremen, Germany

<sup>6</sup> University of Bremen, MAPEX, Faculty 01: Physics and Electrical Engineering, 28359 Bremen, Germany

<sup>7</sup> Mahr GmbH, Carl-Mahr-Straße 1, 37073 Göttingen, Germany

<sup>8</sup> Trioptics Berlin GmbH, Schwarzschildstraße 12, 12489 Berlin, Germany

<sup>9</sup> CC UPOB e.V., Bundesallee 100, 38116 Braunschweig, Germany

<sup>10</sup> Panasonic Production Engineering Co., Ltd., 2-7, Matsuba-cho, Kadoma, Osaka, 571-8502, Japan

<sup>11</sup> Hochschule für angewandte Wissenschaften—Fachhochschule Deggendorf, Technologiecampus 1, 94244 Teisnach, Germany

<sup>12</sup> Institut für Technische Optik, Universität Stuttgart, Pfaffenwaldring 9, 70569 Stuttgart, Germany

<sup>13</sup> Panasonic Automotive and Industrial Systems Europe GmbH, Robert-Bosch-Straße 27-29, 63225 Langen, Germany

<sup>14</sup> Schneider GmbH and Co. KG, Biegenstraße 8-12, 35112 Fronhausen, Germany

<sup>15</sup> IBS Precision Engineering, Esp 201, 5633 AD Eindhoven, Netherlands

E-mail: [reyko.schachtschneider@ptb.de](mailto:reyko.schachtschneider@ptb.de)

Received 20 December 2017, revised 8 February 2018

Accepted for publication 12 February 2018

Published 9 April 2018



## Abstract

The need for high-quality aspheres is rapidly growing, necessitating increased accuracy in their measurement. A reliable uncertainty assessment of asphere form measurement techniques is difficult due to their complexity. In order to explore the accuracy of current asphere form measurement techniques, an interlaboratory comparison was carried out in which four aspheres were measured by eight laboratories using tactile measurements, optical point measurements, and optical areal measurements. Altogether, 12 different devices were employed. The measurement results were analysed after subtracting the design topography and subsequently a best-fit sphere from the measurements. The surface reduced in this way was compared to a reference topography that was obtained by taking the pointwise median across the ensemble of reduced topographies on a  $1000 \times 1000$  Cartesian grid. The deviations of the reduced topographies from the reference topography were analysed in terms of several characteristics including peak-to-valley and root-mean-square deviations. Root-mean-square deviations of the reduced topographies from the reference topographies were found to be on the order of some tens of nanometres up to 89 nm, with most of the deviations being smaller than 20 nm. Our results give an indication of the accuracy that can currently be expected in form measurements of aspheres.

<sup>16</sup> Now at mevisco GmbH and Co. KG, Findorffstraße 103, 28215 Bremen, Germany



Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](https://creativecommons.org/licenses/by/3.0/). Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Keywords: metrology, aspheres, interlaboratory comparison

(Some figures may appear in colour only in the online journal)

## 1. Introduction

In recent years, aspheric lenses have started to play an increasingly important role in a wide range of optical applications [1]. The requirements placed on the quality of these aspheres are high; currently, the available capabilities of asphere form measurement techniques limit the accuracy of asphere production [2–4]. Due to the complexity of current form measurement techniques, reliable uncertainty assessments are difficult to make.

In a project carried out in 2015 and 2016, CC UPOB e.V.<sup>17</sup> investigated the state of the art in measuring aspheres with a variety of techniques and instruments. CC UPOB e.V. [5] is an association dedicated to developing competence in manufacturing and to characterizing technical surfaces with ultra-high precision. This competence centre joins the efforts and capabilities of companies, universities, and research institutes to further expedite asphere and freeform metrology (see, e.g. [6–8]). Another attempt to compare different measurement principles was carried out in an EMRP project in 2015 [9]. However, the number of specimens and participants brought together in this study by CC UPOB e.V. is unprecedented.

Within the scope of this project, four specimens were measured by eight laboratories using 12 different instruments, yielding the 29 measurements considered in the analysis. This paper presents and assesses the results of these measurements. Since measurement uncertainties have not been made available by all participants, formal checking of the accuracies claimed is not possible. Nevertheless, our quantitative analysis suggests an estimate of the accuracy that can be expected in current asphere surface measurements.

The paper is organized as follows. Section 2 gives an overview of the measurement methods and devices employed. In section 3, the specimens measured are described, and in section 4, the data analysis methods are introduced. In section 5, the results are then presented in anonymised form, and a discussion and concluding remarks follow in section 6.

## 2. Measurement methods and devices

The following measurement methods were applied within this project: tactile measurements [10]; optical point measurements [11]; and optical areal measurements. The latter group of measurements can be divided into four subgroups: measurements using a computer generated hologram (CGH) [12]; sub-aperture stitching methods [13]; full-aperture interferometric methods [14, 15]; and deflectometric methods [16].

Tactile coordinate measuring machines (CMMs) use a stylus to obtain a pointwise scan of the specimen surface. CMMs have the advantage of providing absolute form information, but this process is slow and gives only pointwise information

[17], even though the point density in the scanning direction can be very high. The tactile devices used in the study were the Isara 400 [18]; MarSurf LD260 Aspheric 3D [19]; UA3P 3D Profilometer [20]; and Taylor Hobson PGI [21].

Optical point measurements are significantly faster than tactile CMMs, but provide only pointwise information. In addition, the optical point has a considerable width of some micrometres, limiting the lateral resolution. On the other hand, the measurement procedure is contact-less, thereby sparing the surface from potential scratches or digs. The optical point sensors used were MarForm MFU200 Aspheric 3D [19, 22] and LuphoScan [23, 24].

CGHs are expensive and must be re-manufactured for every new design [25]. Thus, they are of economic value only if many measurements are conducted for the same design or if the specimens measured are very expensive. Initial adjustment of a conventional CGH is time-consuming and, if not done accurately, can lead to additional measurement errors [12, 25, 26]. However, these errors can be reduced by combining the CGH and the reference wave generating surface [26]. Furthermore, single measurements with CGHs are fast [25]. Here, a Zygo GPI interferometer and a TRIOPTICS  $\mu$ Phase interferometer [27] were used in combination with a CGH.

Areal measurements without a CGH are fast and yield a high point density; however, depending on the specific method used, they require stitching or elaborate computational processes. In sub-aperture interferometry, small sections of the specimen are measured in such a way that the section's deviation from a spherical or planar shape is small. From a large number of sub-aperture interferograms, small topography sectors are computed and stitched together to yield a full-aperture topography [13]. This can also be a time-consuming process. For the sub-aperture stitching, an SSI-A interferometer from QED [28] was used.

The tilted-wave interferometer (TWI) is a recently developed optical areal measurement principle [29] that uses a source array to illuminate the specimen from several angles. For data analysis purposes, the measurement process is simulated. In the simulation, the specimen surface is adjusted until the simulated data match the measurement data [15]. This method is advantageous because the instrument has no moving parts during measurement. On the other hand, careful calibration is necessary in order to distinguish between the specimen's surface and retrace effects [30]. Due to the novelty of this method, one device used in this study was a preliminary lab breadboard setup.

In large angle deflectometry (e.g. phase measuring deflectometry) the reflection of a pattern onto the specimen's surface is observed by a camera. The form of the surface is calculated from distortions of the image. Phase measuring deflectometry is suitable for obtaining high-spatial-frequency information but entails difficulties for obtaining low-spatial-frequency form information [31].

<sup>17</sup> CC UPOB e.V.: Kompetenzzentrum Ultrapräzise Oberflächenbearbeitung e.V. (Competence Center for Ultra Precise Surface Machining).



**Figure 1.** The specimens used in this study from left to right: asphere 1, weak asphere; asphere 2, two radii and Siemens star pattern in central part; asphere 3, containing turning point; asphere 4, strong asphere. The specimens have diameters of 39 mm, 60 mm, 60 mm, and 40 mm, respectively (see table 2).

**Table 1.** Overview of the specimens under test. Where there were several measurements of the same device for one asphere, a representative measurement was selected for analysis.

Asphere	Characteristics	Number of instruments used	Total number of measurements
1	Weak asphere	8	18
2	(Double) sphere with Siemens star pattern	7	11
3	Asphere with turning point	7	16
4	Strong asphere	7	16

**Table 2.** Nominal asphere parameters according to equation (1) from the design specifications. Asphere 2 is composed from two spherical parts and has a different definition.

Parameter	Asphere 1	Asphere 3	Asphere 4
$R$ in mm	40.6193	94.6	20.097
$\kappa$	0	-23.2046	-1
$\alpha_4$ in $\text{mm}^{-3}$	$-6.79375 \times 10^{-7}$	$-6.86465 \times 10^{-6}$	$-2.0868 \times 10^{-5}$
$\alpha_6$ in $\text{mm}^{-5}$	$-4.85203 \times 10^{-10}$	$-1.21832 \times 10^{-8}$	$-3.9031 \times 10^{-9}$
$\alpha_8$ in $\text{mm}^{-7}$	$-2.05223 \times 10^{-13}$	$2.22491 \times 10^{-11}$	$3.4102 \times 10^{-11}$
$\alpha_{10}$ in $\text{mm}^{-9}$	$-6.2324 \times 10^{-17}$	$-8.06098 \times 10^{-14}$	$-3.2381 \times 10^{-14}$
$\alpha_{12}$ in $\text{mm}^{-11}$	$-4.4857 \times 10^{-20}$	0	0
Specimen diameter in mm	39	60	40
Analysed region diameter in mm	32	25	26

In total, four tactile CMMs, two optical CMMs, and six areal instruments were used in this study. For the sake of anonymity, they are labelled  $T_1$ – $T_4$  (tactile CMMs),  $O_1$ – $O_2$  (optical CMMs), and  $A_1$ – $A_6$  (areal measurements) in the following.

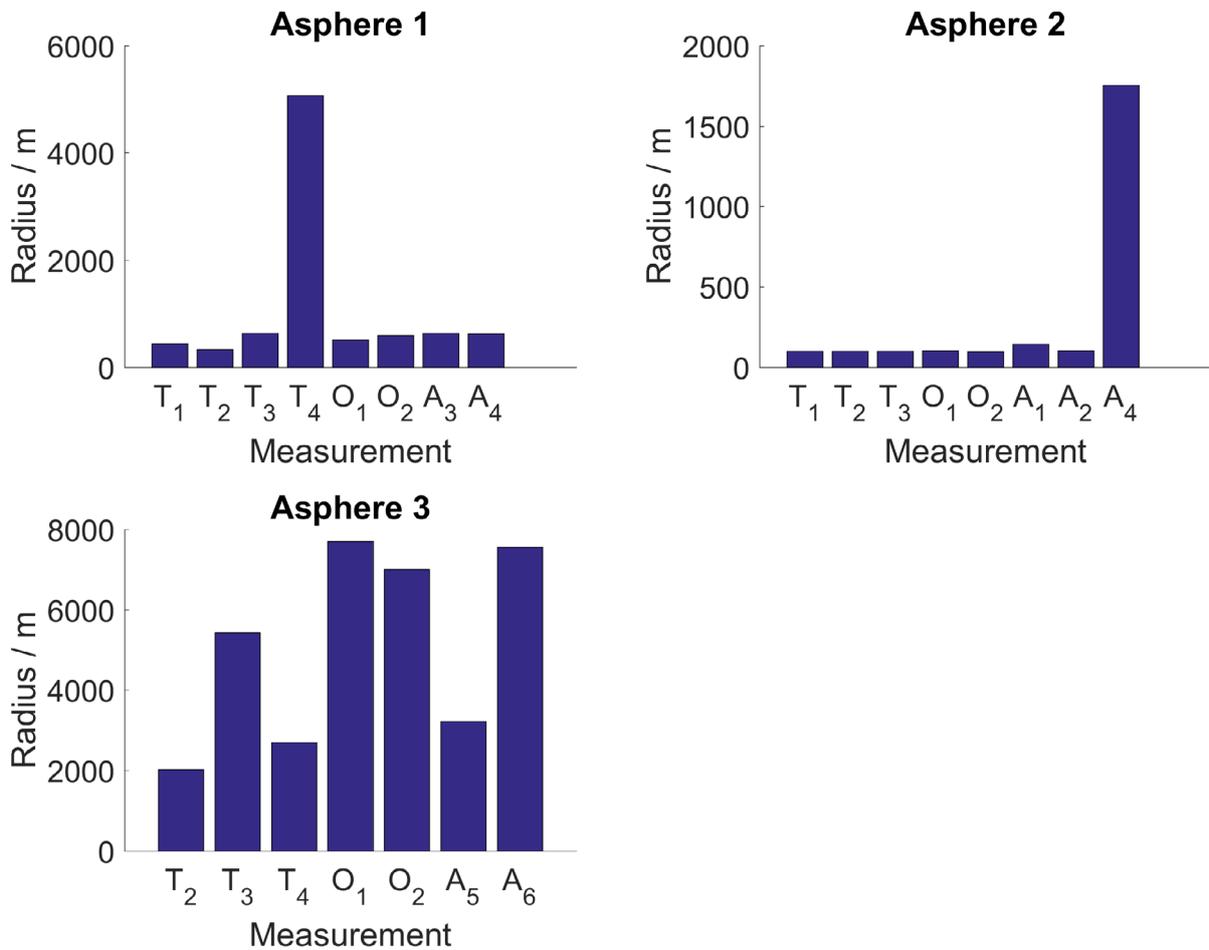
### 3. Specimens

Four different aspheres were measured (see figure 1). The aspheres were chosen in such a way that a wide variety of possible designs could be measured with a small number of specimens. Asphere 1 is a weak asphere from series production at Leica Camera AG [32]. It has a diameter of 39 mm. Asphere 2 was provided by Schneider GmbH & Co. KG [33] and NTG GmbH [34]. It consists of two spherical parts with differing curvature radii: the curvature radius of the inner part is 250 mm, while the outer annulus has a curvature radius of 150 mm. The inner segment has an aperture diameter of 25 mm; the diameter

of the outer segment is 60 mm. The inner segment contains a Siemens star-like structure. Siemens stars are used to assess the resolution capabilities of cameras and other optical devices [35]. Asphere 3 was provided by Thales Angenieux [36], and contains a turning point connecting a convex part in the centre and a concave annulus. The specimen’s diameter is 60 mm. Asphere 4 was provided by Schneider GmbH & Co KG. It is a strong asphere with a diameter of 40 mm. A brief overview of the specimens is also given in table 1. Aspheres 1, 3, and 4 can be described by the asphere formula [3]:

$$z(r) = \frac{r^2}{R \left( 1 + \sqrt{1 - (1 + \kappa) \frac{r^2}{R^2}} \right)} + \alpha_4 r^4 + \alpha_6 r^6 + \dots, \tag{1}$$

where  $R$  is the vertex radius of curvature;  $\kappa$  is the conic constant; and  $\alpha_i$  are further coefficients describing the asphericity.



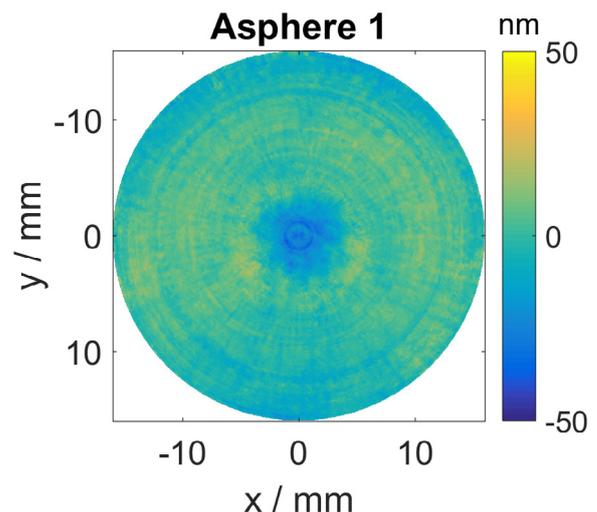
**Figure 2.** Best-fit sphere radii of differences between measurement data and design topography data for each measurement.  $T_1$ – $T_4$ : tactile CMMs,  $O_1$ – $O_2$ : optical CMMs, and  $A_1$ – $A_6$ : areal measurements. The radius of measurement  $A_6$  of asphere 4 is small (15.72 m) compared to the other measurements. Large radii indicate small deviations. A radius of some thousand metres means there is essentially no spherical error.

The asphericity coefficients of aspheres 1, 3, and 4 are given in table 2.

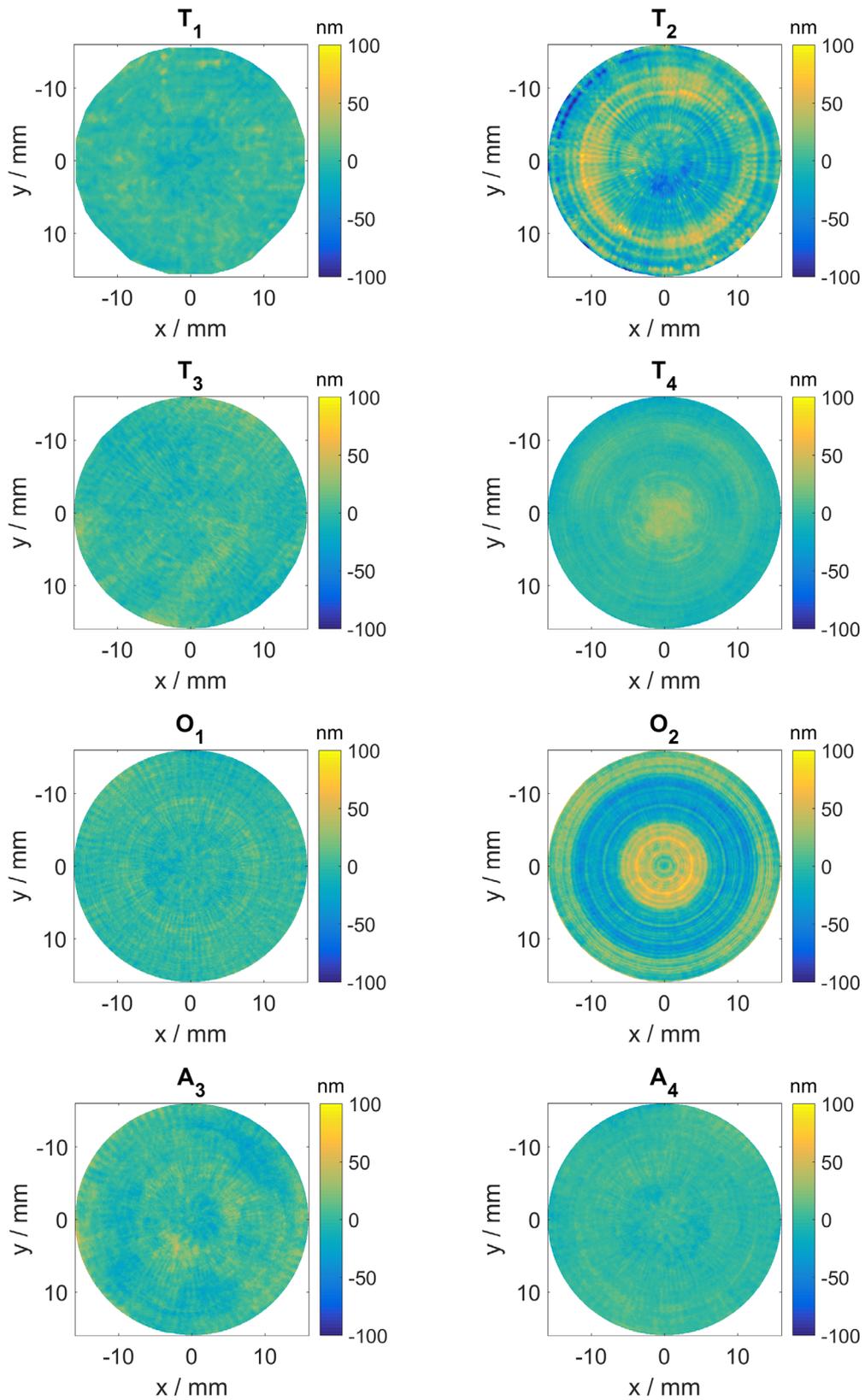
The CGHs used in this study for asphere 1, 2, and 3 were provided by DIOPTIC GmbH [37]. The CGH for asphere 4 was provided by Schneider GmbH & Co KG.

#### 4. Data analysis

The measurement results were preprocessed and thereafter compared to a reference surface. The following section describes how the preprocessing steps were carried out, along with the data analysis subsequently performed. The data processing procedures applied in this study for removing the design topography are based on the strategy introduced in [38]: in the first step, all measurement data were adapted to have a common format, and the analysis was performed in Cartesian coordinates. Some of the measurements were given as deviations from the design topography, while others yielded the complete topography. The subsequent analyses were performed in terms of deviations from the design topography. The design was subtracted from the measurements that referred to the complete topography in the following way: the measurement data were aligned



**Figure 3.** Virtual reference topography (VRT), i.e. the pointwise median of the reduced topographies (see (2) and (3)), for asphere 1. Measurement reduction consisted of subtracting the design data and thereafter a best-fit sphere prior to median computation. The median was computed pointwise on a regular Cartesian grid on which all measurement data were resampled using spline interpolation. The resulting VRT has an RMS of 7 nm and an MAD of 4 nm.



**Figure 4.** Difference topographies: differences between reduced topographies (best-fit sphere corrected deviation of measurements from the design) and virtual reference topography (VRT), i.e. the pointwise median of the reduced topographies, for asphere 1. For the definition of difference topography see (4).  $T_i$ : tactile CMMs,  $O_j$ : optical CMMs,  $A_k$ : areal measurements.

with the design topography by minimizing the differences between the measurement and the design in a least-squares sense, allowing shifts of the measurement point cloud along the three Cartesian axes and rotations about the  $x$ - and  $y$ -axes. This fitting took place by means of a tool developed at PTB (an advanced form of the tool described in [39]) that uses MATLAB® [40]. The design data (the nominal surface data) were then subtracted from the measured surface data (the measured topography data). After this point, all measurement data were processed the same way.

The differences between the measurements and the design topography still showed significant spherical surface contributions due to the fact that many devices cannot determine the spherical component of the measured surface unambiguously. Therefore, the spherical surface contribution was removed by additionally subtracting a best-fit sphere (BFS). The BFS was determined using the Levenberg–Marquardt algorithm [41, chapter 5.2] as implemented in MATLAB® [40]. The radii of the BFSs subtracted from each topography are shown in figure 2 in section 5. As expected, there were significant differences between the different measurements. This confirms the fact that, without subtracting a best-fit sphere from the results, the results will be dominated by the influence of the measurement error of the spherical contribution, and a meaningful comparison of the remaining form characteristics will not be possible. The next step was outlier removal. Data points that had an absolute difference to the ensemble median 7 times larger<sup>18</sup> than the median absolute deviation (MAD) of the data set were removed.

Finally, the data were rotated about the  $z$ -axis in such a way that the correlation to the same reference residual data set (chosen from the measurement data sets at random) was maximized. This ensured that for a given specimen all residuals had the same orientation for further analysis.

For asphere 2, the design was removed in a two-step procedure. First, the spherical component of the design was removed, leaving a possible spherical contribution with a different radius and the Siemens star pattern. After that, the BFS was subtracted. Then, the Siemens star pattern was removed using the aforementioned PTB tool. The reason for this procedure was that the BFSs had to be removed before removing the Siemens star pattern; otherwise, the BFS would have dominated the differences between the measurements and the Siemens star pattern design when aligning the residuals with a reference.

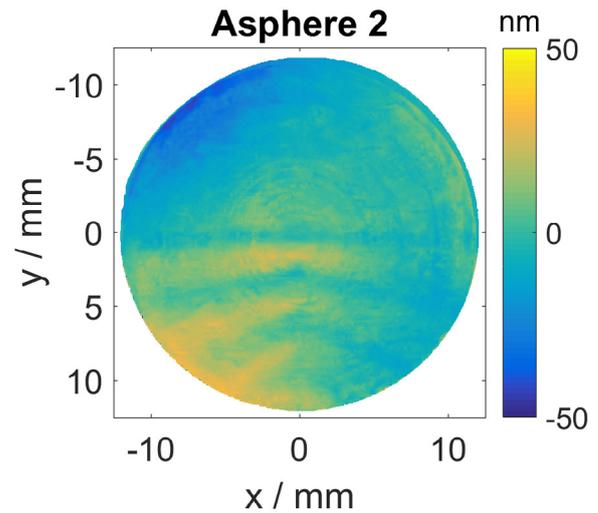
The topography obtained after completing these steps is called the reduced topography:

$$\tau_n^{\text{red}}(x_i, y_i) = T_n(x_i, y_i) - D(x_i, y_i) - S_n^{\text{bf}}(x_i, y_i), \quad (2)$$

where  $T_n(x_i, y_i)$  is the topography of the  $n$ th measurement at point  $(x_i, y_i)$ ;  $D(x_i, y_i)$  is the design topography; and  $S_n^{\text{bf}}(x_i, y_i)$  is the value of the best-fit sphere for measurement  $n$ .

Since the specimens' true forms—and thus their deviations from the design topography—are unknown, the pointwise median of reduced topographies across the measurement

<sup>18</sup>This value was determined empirically by means of visual inspection and chosen in such a way that clear outliers were removed, while variations resulting from measurement processes remained in the data set.



**Figure 5.** Virtual reference topography (VRT), i.e. the pointwise median of the reduced topographies (see (2) and (3)), for asphere 2. Measurement reduction consisted of subtracting the design data and thereafter a best-fit sphere prior to median computation. The median was computed pointwise on a regular Cartesian grid on which all measurement data were resampled using spline interpolation. The resulting VRT has an RMS of 12 nm and an MAD of 7 nm.

ensemble (see column 3 of table 1) was computed in order to function as a virtual reference surface for those deviations:

$$\tilde{\tau}(x_i, y_i) = \text{median}_n (T_n(x_i, y_i) - D(x_i, y_i) - S_n^{\text{bf}}(x_i, y_i)). \quad (3)$$

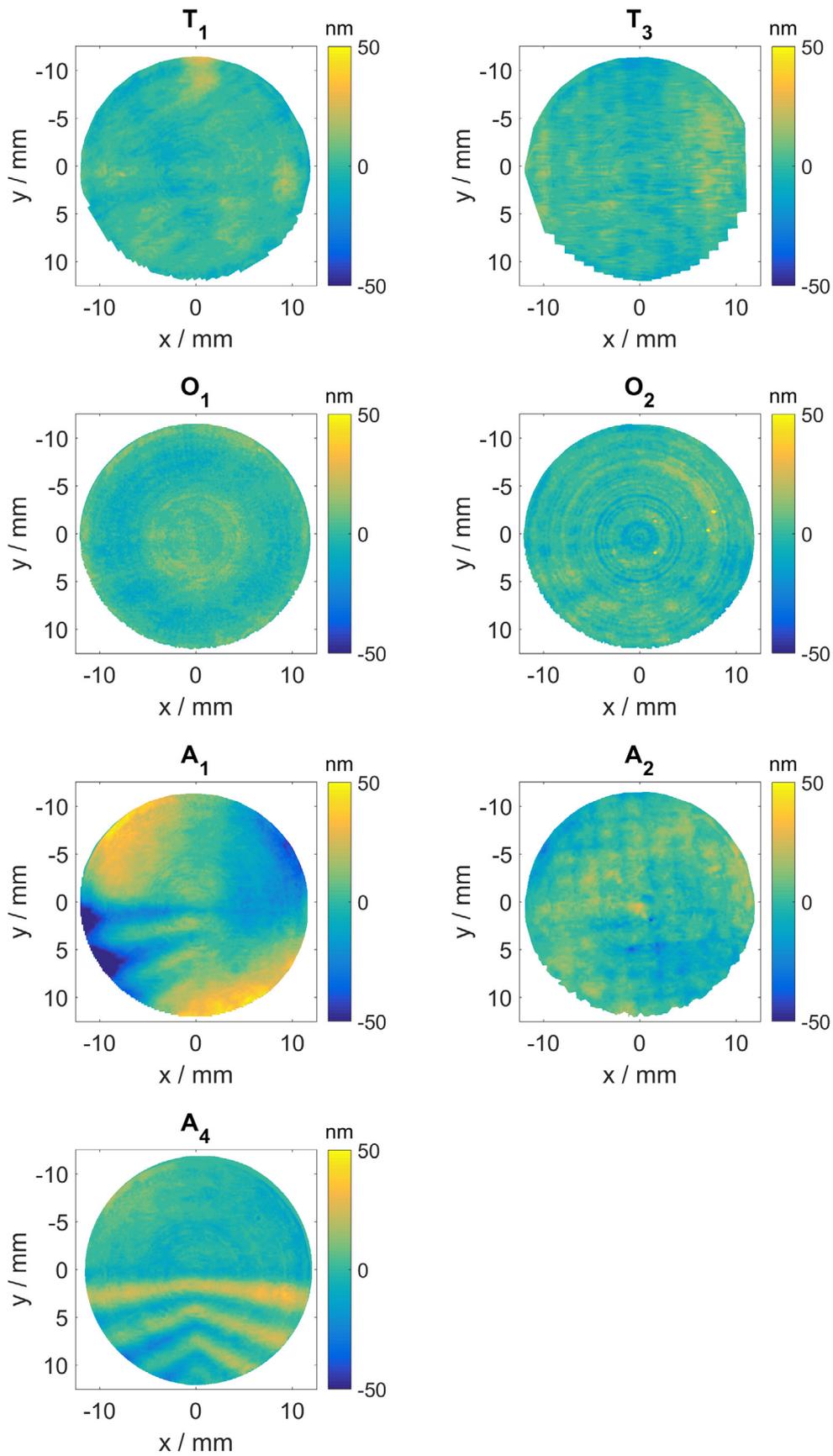
We will refer to this virtual reference topography as the VRT. In the absence of measurement uncertainties, we chose the pointwise median as a robust estimator [42] for the mean value of the measurement ensemble. It disregards single large deviations and is a robust strategy to find a reference that approximates the true surface. The differences between the VRT and each reduced topography will be called the difference topography, defined as

$$\begin{aligned} \tau_n^{\delta}(x_i, y_i) &= \tau_n^{\text{red}}(x_i, y_i) - \tilde{\tau}(x_i, y_i) \\ &= T_n(x_i, y_i) - D(x_i, y_i) - S_n^{\text{bf}}(x_i, y_i) - \tilde{\tau}(x_i, y_i). \end{aligned} \quad (4)$$

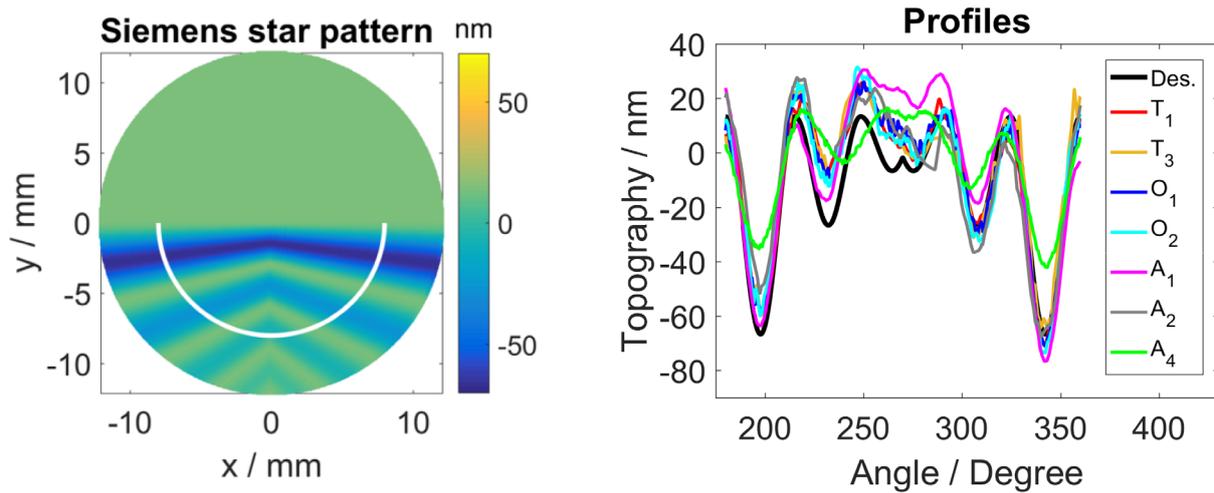
The reduced topographies, VRTs, and difference topographies were calculated pointwise on a regular  $1000 \times 1000$  Cartesian grid on which the measurement data were resampled using spline interpolation.

## 5. Results

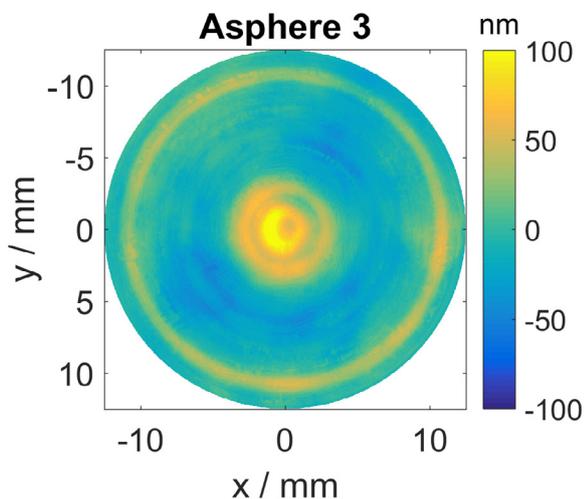
As mentioned in section 4, BFSs were subtracted from the measurements' deviations from the design topography. The radii of these BFSs are shown in figure 2. They show significant outliers for aspheres 1, 2, and 4, the rest of the radius values being of the same magnitude. A possible reason for the outliers might be BFSs being already subtracted prior to data delivery. Only for asphere 3, all of the values are very large, indicating almost flat surfaces. The radius for measurement  $A_6$  of asphere 4 is quite small at 15.72 m, indicating a considerable curvature.



**Figure 6.** Difference topographies: differences between reduced topographies (best-fit sphere corrected deviation of measurements from the design) and virtual reference topography (VRT), i.e. the pointwise median of reduced topographies, for asphere 2. For the definition of difference topography, see (4).  $T_i$ : tactile CMMs,  $O_j$ : optical CMMs,  $A_k$ : areal measurements.



**Figure 7.** Profiles through the Siemens star pattern. The white line in the left panel shows the profile path. Profiles of the measurements are shown in the right panel.



**Figure 8.** Virtual reference topography (VRT), i.e. the pointwise median of the reduced topographies (see (2) and (3)), for asphere 3. Measurement reduction consisted of subtracting the design data and thereafter a best-fit sphere prior to median computation. The median was computed pointwise on a regular Cartesian grid on which all measurement data were resampled using spline interpolation. The resulting VRT has an RMS of 25 nm and an MAD of 14 nm.

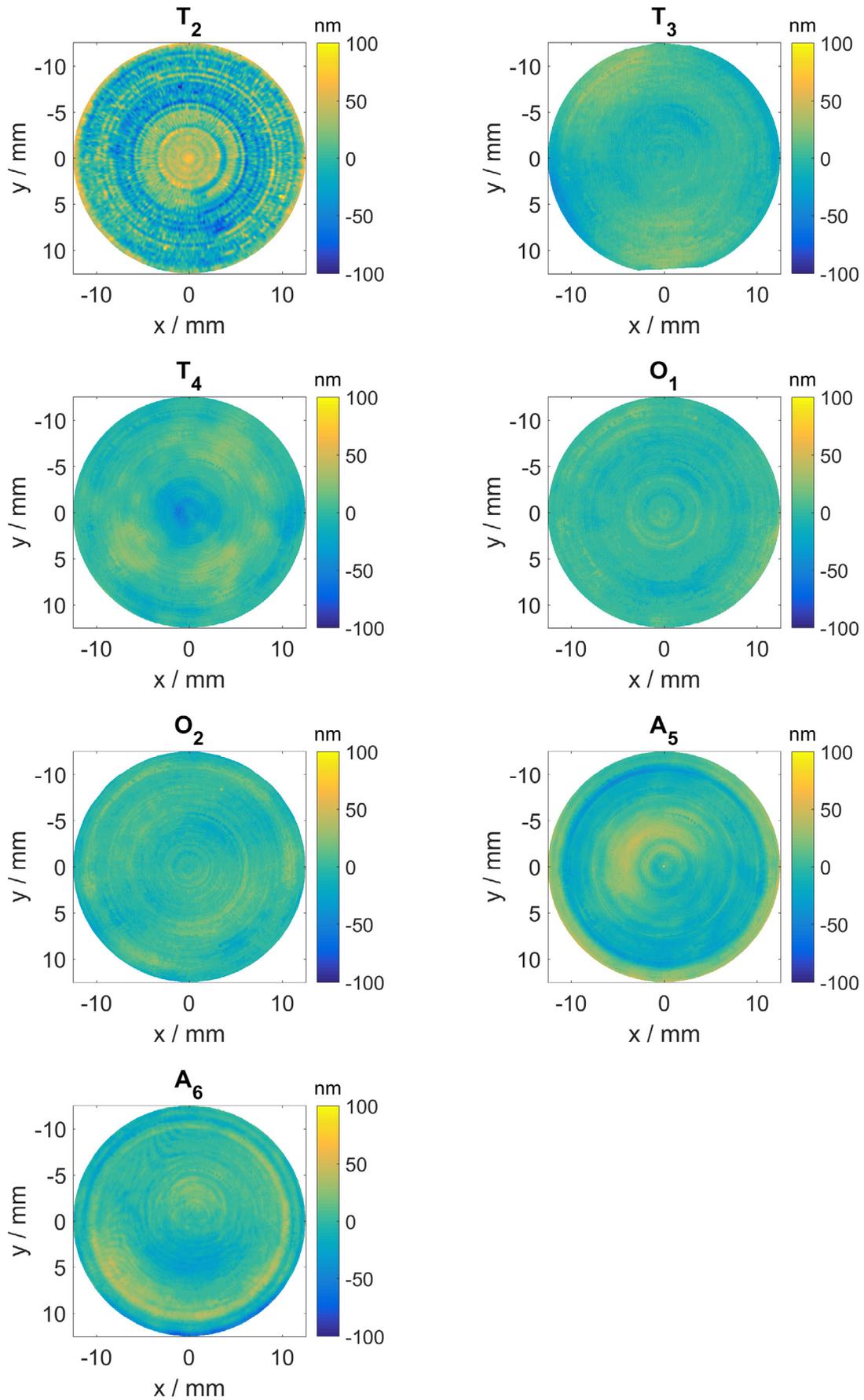
Figures 3, 5, 8 and 10 show the VRTs for aspheres 1–4, respectively, and figures 4, 6, 9 and 11 show the difference topographies. The VRT is a good estimate of the deviation of the manufactured specimen from its design topography, reduced by a spherical component. Therefore, the VRTs shown in figures 3, 5, 8 and 10 give the reader an idea of the accuracy of the manufacturing process for the four different specimens up to unknown spherical components. Table 3 shows the root-mean-square error (RMS), median absolute deviation (MAD), and peak-to-valley (PV) values for each of these deviations. The MAD is also considered because it is a robust measure of the variability of measurement values [42].

### 5.1. Asphere 1

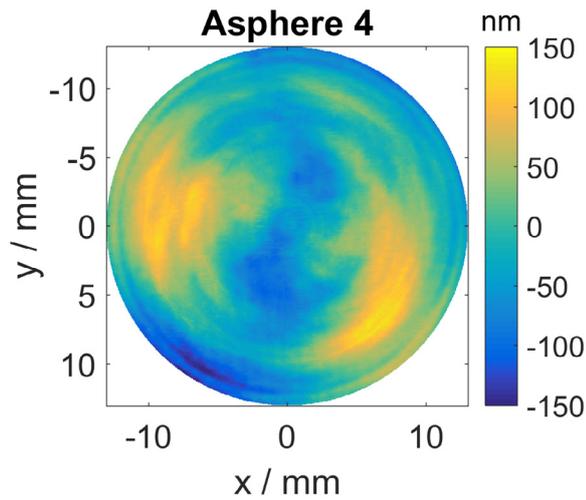
For asphere 1, the RMS of the difference topography ranges between 6 and 27 nm; the MAD ranges between 3 and 21 nm (see table 3). In the whole ensemble, the variability of RMS, MAD, and PV values is small. No order between measurement principles can be identified (see table 3 for a complete listing of RMS, MAD, and PV values). The VRT of specimen 1 (see figure 3) has very low values. It has a PV of 102 nm and an RMS of 7 nm, which suggests good manufacturing quality of this weak asphere. The difference topographies suggest that measurements  $T_2$  and  $O_2$  might have systematic error influences for this specific measurement task (figure 4).

### 5.2. Asphere 2

In the analysis of asphere 2, only the inner segment was considered. The VRT of specimen 2 (figure 5) still shows some contributions of the Siemens star structure. This suggests that these parts of the structure were manufactured with uncertainties in the range of the deviations shown. The RMS values of the difference topographies range up to about 20 nm, but most of the values are smaller than 10 nm (see table 3). Most of the MAD values are smaller or equal to 5 nm; only one value reaches 12 nm. The PV values are smaller than 200 nm, with most values being smaller than 100 nm. The relatively large PV values are mostly due to small outliers that could be caused by small disturbances such as dust particles. The sphericity of the design sphere was captured well by all of the participants which is reflected by relatively low values of the difference topographies in the spherical section of the specimens (see figure 6, top half of each aperture). The areal methods seem to have slightly more difficulties to reproduce the Siemens star pattern which results in slightly higher characteristic values in table 3. Figure 7 shows profiles through the structure at an 8 mm radius. The profile path is shown in the left panel of figure 7. Only measurement  $A_4$  shows some



**Figure 9.** Difference topographies: differences between reduced topographies (best-fit sphere corrected deviation of measurements from the design) and virtual reference topography (VRT), i.e. pointwise median of reduced topographies, for asphere 3. For the definition of difference topography, see (4).  $T_i$ : tactile CMMs,  $O_j$ : optical CMMs,  $A_g$ : areal measurements.



**Figure 10.** Virtual reference topography (VRT), i.e. the pointwise median of the reduced topographies (see (2) and (3)), for asphere 4. Measurement reduction consisted of subtracting the design data and thereafter a best-fit sphere prior to median computation. The median was computed pointwise on a regular Cartesian grid on which all measurement data were resampled using spline interpolation. The resulting VRT has an RMS of 56 nm and an MAD of 41 nm.

larger deviations over the whole profile. The other profiles agree very well with the design along the profile line. The difference topographies suggest that measurements  $A_1$ ,  $A_2$ , and  $A_4$  have systematic error influences for this specific measurement task.

### 5.3. Asphere 3

For asphere 3, the difference topographies' RMS and MAD values range from a few tens of nanometres up to 28 nm RMS and 20 nm MAD (see table 3). PV values range from 82 nm up to 239 nm. The variability of the RMS and MAD values is comparable to asphere 1 and slightly larger than for aspheres 2 and 4, provided that measurement  $A_6$  is not considered for asphere 4 since it is a clear outlier. There is no significant difference between the three measurement principles. The optical point measurements (green values in figure 12) have slightly smaller RMS and MAD values than the other methods. For this specific measurement task, the difference topographies suggest that measurement  $T_2$  might have systematic error influences (see figure 9). The VRT of specimen 3 (figure 8) shows some rotationally symmetric features. We may conclude that the specimen was manufactured with the error shown.

### 5.4. Asphere 4

For the steep asphere (asphere 4) the difference topographies' RMS values range from 9 nm to 91 nm (see table 3). The MAD values are slightly smaller at 4 nm–47 nm. The PV values range from 141 nm to about one micrometre, with most of the values being smaller than or equal to 250 nm. For this strong asphere, the results shown in figure 11 suggest that measurements  $T_2$  and  $A_6$  might have systematic

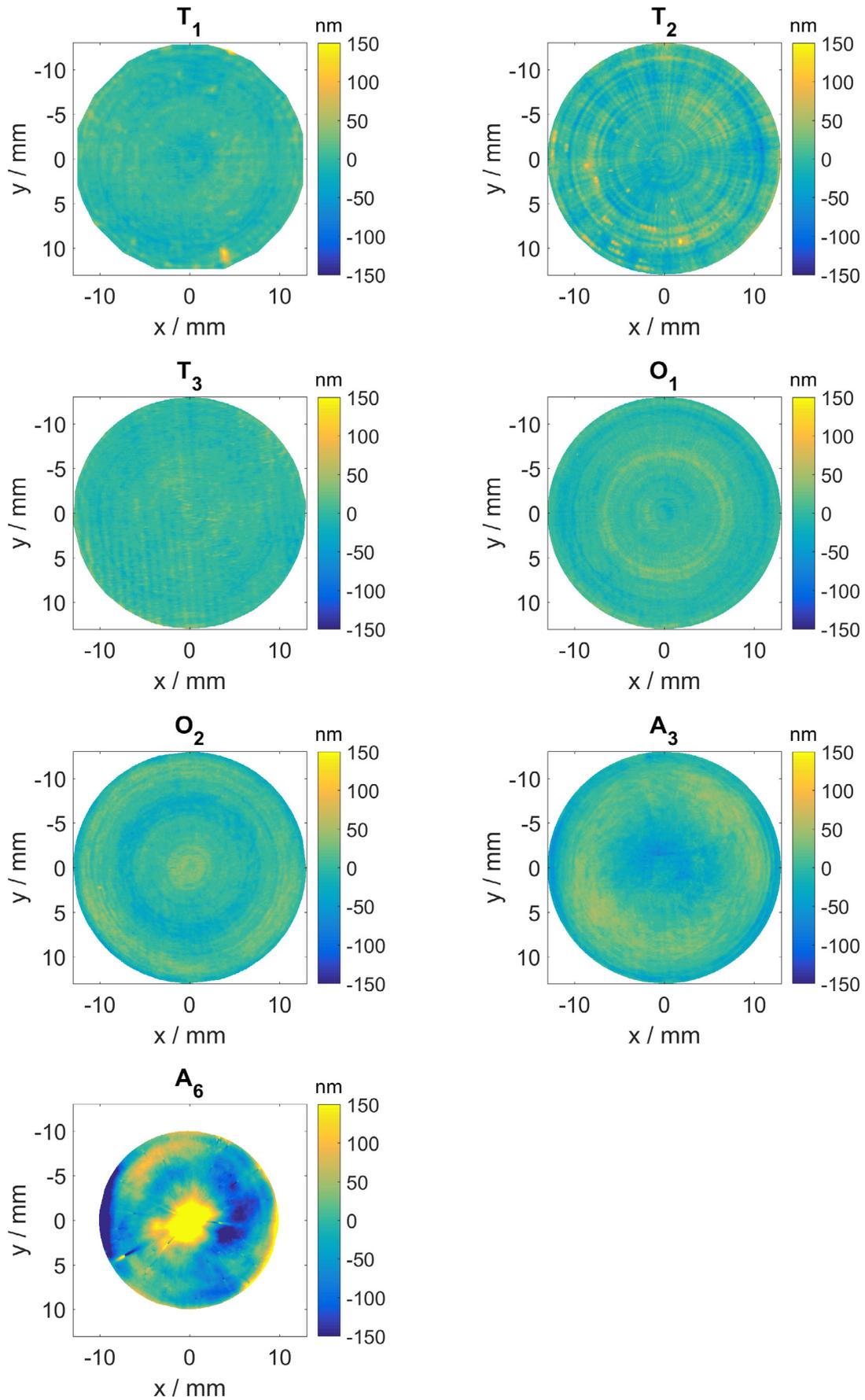
**Table 3.** Root-mean-square errors (RMS), median absolute deviation (MAD), and peak-to-valley (PV) values for difference topographies (difference between reduced and virtual reference topographies, see (4)).

Asphere	Label	RMS in nm	MAD in nm	PV in nm	Group
1	$T_1$	7	4	85	Tactile
	$T_2$	25	15	267	Tactile
	$T_3$	8	5	83	Tactile
	$T_4$	9	4	93	Tactile
	$O_1$	8	4	88	Optical point sensor
	$O_2$	27	21	162	Optical point sensor
	$A_3$	10	6	106	Areal
	$A_4$	6	3	65	Areal
2	$T_1$	5	2	51	Tactile
	$T_3$	5	3	60	Tactile
	$O_1$	5	3	96	Optical point sensor
	$O_2$	5	3	190	Optical point sensor
	$A_1$	19	12	129	Areal
	$A_2$	7	4	82	Areal
	$A_4$	9	5	71	Areal
	3	$T_2$	28	20	239
$T_3$		12	7	103	Tactile
$T_4$		12	6	146	Tactile
$O_1$		7	3	85	Optical point sensor
$O_2$		8	5	82	Optical point sensor
$A_5$		17	12	135	Areal
$A_6$		15	8	134	Areal
4		$T_1$	12	6	250
	$T_2$	20	11	371	Tactile
	$T_3$	9	4	111	Tactile
	$O_1$	11	6	141	Optical point sensor
	$O_2$	15	9	189	Optical point sensor
	$A_3$	22	15	149	Areal
	$A_6$	91	47	1095	Areal

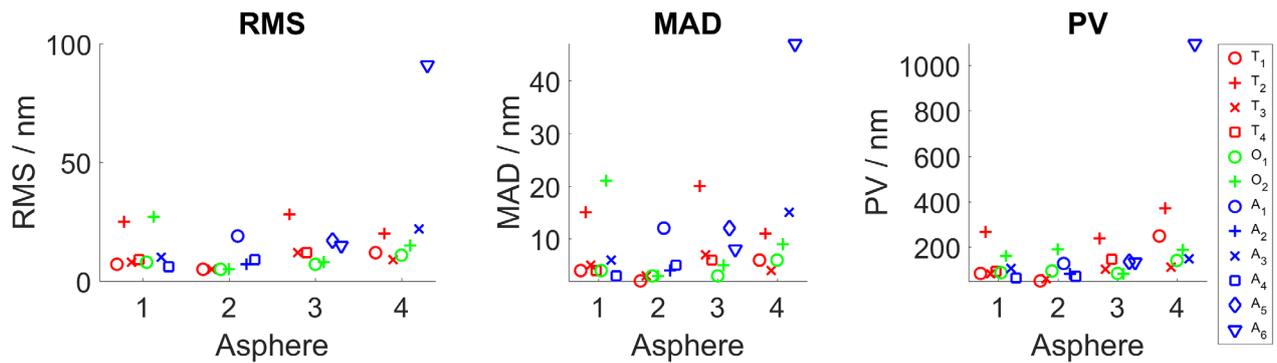
error influences for this specific measurement task. Except for measurement  $A_6$ , all residuals are of the same magnitude. The VRT of this strong asphere (figure 10) has a PV of 299 nm and an RMS of 56 nm, and the deviations shown seem to be polishing errors in the manufacturing process. We observe that, for the specimen with the strongest asphericity in this study, the deviations between measurement data and design data are larger than for the other specimens. However, since the aspheres were not chosen with the primary focus on exact manufacturing, this may not be significant.

### 5.5. Characteristic values

Figure 12 shows plots of all RMS, MAD, and PV values. The variability between measurements for one asphere is quite small. There is no group of devices (colour coded) that stands out from the rest in one direction or the other (see table 3 for characteristic values). In every group of devices there are instruments with very low characteristic values. Only measurement  $A_6$  shows significantly larger characteristic values for asphere 4 than the rest of the measurements.



**Figure 11.** Difference topographies: differences between reduced topographies (best-fit sphere corrected deviation of measurements from the design) and the virtual reference topography (VRT), i.e. the pointwise median of the reduced topographies, for asphere 4. For the definition of difference topography, see (4).  $T_i$ : tactile CMMs,  $O_j$ : optical CMMs,  $A_k$ : areal measurements.



**Figure 12.** Characteristic values (RMS, MAD, and PV) per asphere grouped by measurement principle ( $T_i$ : tactile CMMs (red),  $O_j$ : optical CMMs (green),  $A_k$ : areal measurements (blue)). Shown are the values for the difference topographies.

## 6. Discussion and conclusions

The aim of this study was to explore the state of the art in asphere metrology for a variety of measurement devices and different measurement tasks by measuring an ensemble of specimens. The measurement results of the different instruments differ in data point density and grid layout (e.g. Cartesian, spiral, concentric circles or profiles through the centre). Therefore, a sophisticated evaluation procedure has been developed that allows a comparison to take place without penalizing the results that have low data point density.

Since the true forms of the specimens are unknown, virtual reference topographies were chosen. For this purpose, pointwise median topographies were computed. Depending on the specimen, MAD values of the difference topographies were found to be in a range from a few nanometres to about 50 nm.

The difference topographies suggest that, depending on the measurement task, some measurement systems may have systematic error influences. Note, however, that a VRT does not represent the true topography. Nevertheless, very different measurement principles contributed data to building each VRT and the measurements' systematic deviations from these VRTs are apparent for different measurement principles (tactile point measurement, optical point measurement, optical areal measurement). Therefore, comparing the reduced topographies to the VRTs seems to be a fair choice, since no measurements uncertainties were available.

The results of this study show that the variability between the different measurement systems is as large as the variability between the different measurement principles (tactile point measurement, optical point measurement, and optical areal measurement). There are pointwise measurement systems as well as areal measurement systems with results close to the VRTs. The data available do not suggest that one measurement principle is superior to another. Nevertheless, the results of some measurement systems are close to the VRTs for all measured samples.

The VRT is a good estimate of the deviation of the manufactured specimen from its design topography (except for an unknown spherical component), and can therefore be used as an indicator of the manufacturing accuracy of the specimens used in this study. The VRTs of the four specimens in this study show values that increase with asphericity. This may

indicate that the manufacturing process is more challenging for stronger aspheres. Note that this does not necessarily reveal the best possible manufacturing accuracy currently available for each specimen type, as the efforts put into form optimization depend on the customers' specifications.

It is important to note that the observed deviations from the VRTs are based on differences between measurement data and design topographies from which a best-fit sphere was subtracted for every measurement data set. Therefore, the total measurement uncertainties, which include potential errors in the spherical component of the measurement results (see figure 2), are expected to be higher.

## Acknowledgments

We thank CC UPOB e.V. for their financial support. Furthermore, we thank Leica Camera AG, Schneider GmbH & Co. KG, NTG GmbH and Thales Angenieux for providing the specimens and DIOPTIC GmbH and Schneider GmbH & Co. KG for providing the CGHs.

## ORCID iDs

R Schachtschneider  <https://orcid.org/0000-0003-1920-5439>

## References

- [1] Braunecker B, Hentschel R and Tiziani H J 2008 *Advanced Optics Using Aspherical Elements* (Bellingham, WA: SPIE Press)
- [2] Schwenke H, Neuschäfer-Rube U, Pfeifer T and Kunzmann H 2002 Optical methods for dimensional metrology in production engineering *CIRP Ann.—Manuf. Technol.* **51** 685–99
- [3] Pruss C, Garbusi E and Osten W 2008 Testing aspheres *Opt. Photonics News* **19** 24–9
- [4] Supranowitz C, McFee C and Murphy P 2012 Asphere metrology using variable optical null technology *Proc. SPIE* **8416** 841604
- [5] 2017 Kompetenzzentrum Ultrapräzise Oberflächenbearbeitung CC UPOB e.V. [www.upob.de](http://www.upob.de)
- [6] Quabis S 2012 Comparison of measurement results Oral presentation *Talk at Asphere Metrology Workshop of CC UPOB*

- [7] Blobel G 2015 Evaluation and discussion of intercomparison. Oral presentation *Talk at CC UPOB High Level Expert Meeting*
- [8] Schachtschneider R 2016 Asphere Measurement Comparison. Oral presentation *Talk at Asphere Metrology Workshop of CC UPOB*
- [9] Bergmans R H, Nieuwenkamp H J, Kok G J P, Blobel G, Nouira H, Küng A, Baas M, Tevoert M, Baer G and Stuerwald S 2015 Comparison of asphere measurements by tactile and optical metrological instruments *Meas. Sci. Technol.* **26** 105004
- [10] Weckenmann A, Estler T, Peggs G and McMurtry D 2004 Probing systems in dimensional metrology *CIRP Ann.—Manuf. Technol.* **53** 657–84
- [11] Van Gestel N, Cuypers S, Bleys P and Kruth J-P 2009 A performance evaluation test for laser line scanners on CMMs *Opt. Lasers Eng.* **47** 336–42
- [12] Dörband B and Tiziani H J 1985 Testing aspheric surfaces with computer-generated holograms: analysis of adjustment and shape errors *Appl. Opt.* **24** 2604–11
- [13] Murphy P, Fleig J, Forbes G, Miladinovic D, DeVries G and O'Donohue S 2006 Subaperture stitching interferometry for testing mild aspheres *Proc. SPIE* **6293** 62930J
- [14] Greivenkamp J E and Gappinger R O 2004 Design of a nonnull interferometer for aspheric wave fronts *Appl. Opt.* **43** 5143
- [15] Garbusi E, Pruss C and Osten W 2008 Interferometer for precise and flexible asphere testing *Opt. Lett.* **33** 2973
- [16] Knauer M C, Kaminski J and Häusler G 2004 Phase measuring deflectometry: a new approach to measure specular free-form surfaces *Proc. SPIE* **5457** 366–76
- [17] Whitehouse D J 2002 *Surfaces and Their Measurement* (Amsterdam: Elsevier)
- [18] Widdershoven I, Donker R L and Spaan H A M 2011 Realization and calibration of the 'Isara 400' ultra-precision CMM *J. Phys.: Conf. Ser.* **311** 012002
- [19] Beutler A 2016 Metrology for the production process of aspheric lenses *Adv. Opt. Technol.* **5** 211–28
- [20] Tsutsumi H, Yoshizumi K and Takeuchi H 2005 Ultrahighly accurate 3d profilometer *Proc. SPIE* **5638** 387–94
- [21] Taylor Hobson Ltd. 2015 Form talysurf PGI brochure— [www.taylor-hobson.com/uploads/downloads/fts-pgi-series\\_lowres\\_en.pdf](http://www.taylor-hobson.com/uploads/downloads/fts-pgi-series_lowres_en.pdf) (29 June 2017)
- [22] Beutler A 2014 Comparison of 2d and 3d measurements of aspheres with a tactile and optical sensor on one measuring instrument *Classical Optics 2014* (Washington, D.C.: Optical Society of America) p OTu4A.1
- [23] Berger G and Petter J 2013 Non-contact metrology of aspheric surfaces based on MWLI technology *Proc. SPIE* **8884** 88840V
- [24] Stover E, Berger G, Wendel M and Petter J 2015 Fast optical 3d form measurement of aspheres including determination of thickness and wedge and decenter errors *Proc. SPIE* **9633** 963310
- [25] Pruss C, Reichelt S, Tiziani H J and Osten W 2004 Computer-generated holograms in interferometric testing *Opt. Eng.* **43** 2534–40
- [26] Asfour J-M and Poleshchuk A G 2006 Asphere testing with a Fizeau interferometer based on a combined computer-generated hologram *J. Opt. Soc. Am.* **23** 172–8
- [27] Trioptics Berlin GmbH 2013 uPhase-Interferometer product brochure [www.trioptics.com/fileadmin/assets/trioptics/04\\_Downloads/uPhase/uPhase-Interferometer-Product-Brochure-E.pdf](http://www.trioptics.com/fileadmin/assets/trioptics/04_Downloads/uPhase/uPhase-Interferometer-Product-Brochure-E.pdf)
- [28] QED 2016 <https://qedmrf.com/en/>
- [29] Baer G, Schindler J, Pruss C, Siepmann J and Osten W 2014 Fast and flexible non-null testing of aspheres and free-form surfaces with the tilted-wave-interferometer *Int. J. Optomechatron.* **8** 242–50
- [30] Baer G, Schindler J, Pruss C, Siepmann J and Osten W 2014 Calibration of a non-null test interferometer for the measurement of aspheres and free-form surfaces *Opt. Express* **22** 31200
- [31] Häusler G, Faber C, Olesch E and Ettl S 2013 Deflectometry versus interferometry *Proc. SPIE* **8788** 87881C
- [32] Leica Camera AG <https://en.leica-camera.com/>
- [33] Schneider GmbH & Co. KG [www.schneider-om.com/en/home.html](http://www.schneider-om.com/en/home.html)
- [34] NTG GmbH [www.ntg.de](http://www.ntg.de)
- [35] Löbich C, Wüller D, Klingen B and Jäger A 2007 Digital camera resolution measurement using sinusoidal siemens stars *Proc. SPIE* **6502** 65020N
- [36] Thales Angenieux [www.angenieux.com/](http://www.angenieux.com/)
- [37] Dioptic GmbH [www.dioptic.de/en/](http://www.dioptic.de/en/)
- [38] Blobel G and Schulz M 2016 Vergleichsmessungen an Asphären *Tagungsband der 5. VDI-Fachtagung Optische Messung von Funktionsflächen*
- [39] Wiegmann A 2011 Accuracy evaluation for sub-aperture interferometry measurements of a synchrotron mirror using virtual experiments *Precis. Eng.* **35** 183–90
- [40] MATLAB® 2015 <https://mathworks.com>
- [41] Fletcher R 2013 *Practical Methods of Optimization* (New York: Wiley)
- [42] Huber P J 2011 Robust statistics *International Encyclopedia of Statistical Science* ed M Lovric (Berlin: Springer) pp 1248–51